



KIFS BROKING PRIVATE LIMITED

IMPORTANT CAUTION AND DISCLAIMER FOR INVESTORS / CLIENTS

Attention Investors

Clients having Trading Account with us: "Prevent Unauthorised transactions in your account --> Update your mobile numbers/email IDs with us. Receive information of your transactions directly from Exchange on your mobile/email at the end of the day.....Issued in the interest of investors"

Clients having Demat Account with us: "Prevent Unauthorized Transactions in your demat account -- > Update your Mobile Number with us. Receive alerts on your Registered Mobile for all debit and other important transactions in your demat account directly from NSDL on the same day..... Issued in the interest of investors"

Prospective Clients: "KYC is one time exercise while dealing in securities markets - once KYC is done through a SEBI registered intermediary (broker, DP, Mutual Fund etc.), you need not undergo the same process again when you approach another intermediary."

VERY IMPORTANT INFORMATION, CAUTION AND DISCLAIMER:

KIFS or any of its sub-brokers, authorized persons or associates are not selling or dealing in any financial product that gives fixed returns with guarantee of safety of principal amount. Investment and trading in securities and financial markets including commodities and derivatives are risky products and one must read and understand Risk Disclosure Documents provided with KYC and also available on our website before carrying out any trading or investments in financial products or securities market.

KIFS and its associates are neither accepting cash from nor paying cash to its clients in securities / financial services business. Cash dealings are not allowed as per provisions of Income Tax Act as well as under SEBI, Stock Exchanges, FMC and Commodity Exchanges Regulations. **ALWAYS ISSUE CHEQUE IN THE NAME OF BROKER ONLY AND NOT IN THE NAME OF SUB-BROKER OR AUTHORISED PERSON.**

Any person dealing with anyone either on the assumptions or promise of fixed return with guarantee of safety of investment amounts or facility of dealing in cash is doing so at his/her own risk and peril and KIFS shall not be liable for costs and consequences of such transactions.

If any person comes across any entity projecting itself to be employee or Authorised Person/agent of KIFS and soliciting investment in either such fixed income product with guaranteed safety or dealing in cash/cheque in his personal name, is requested to promptly communicate the details of such entity / person to KIFS at its head office at 4th Floor, KIFS Corporate House (Khandwala House), Nr. Land Mark Hotel, Nr. Neptune House, Iskon-Ambli Road, Bodakdev, Ahmedabad – 380054, Gujarat, India. either in person or by email to khandwalalp@gmail.com or by calling the Compliance Officer on **Phone. No. : 07969240000 – 09**